

**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 8005-1006-1**To the Assistant Commissioner of Patents**

Transmitted herewith for filing is the patent application of:

Inventors: **Kimikazu MATSUMOTO and Shinichi NISHIDA**

This application is a ☐ continuation ☒ division ☐ continuation-in-part of co-pending Application No. **09/906,073**, filed on **July 17, 2001**, the entire contents of which are hereby incorporated by reference.

Title: **ACTIVE MATRIX LIQUID CRYSTAL DISPLAY HAVING A THIN FILM TRANSISTOR OVER WHICH ALIGNMENT OF LIQUID CRYSTAL MOLECULES DOES NOT CHANGE**

Enclosed are:

**31** Pages of Specification containing **11** claims**12** Sheets of formal Drawings ☒ Abstract of the Disclosure☐ Submission of English translation of prior provisional application under 37 CFR 1.78(a)(5)☐ Newly executed Oath or Declaration/Power of Attorney☒ Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.☐ Deletion of inventor(s). A signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b))☐ Applicant claims small entity status under 37 C.F.R. § 1.27☒ Application Data Sheet under 37 C.F.R. § 1.76☐ Assignment Papers (cover sheet & document(s))☒ An assignment to **NEC LCD TECHNOLOGIES, LTD.**

for parent application no. **09/906,073** was recorded on **June 5, 2003** at Reel **013715**, Frame **0282-0285**

☒ Information Disclosure Statement (IDS) w/PTO-1449 - ☐ Copy of IDS citations☐ Preliminary Amendment☒ Itemized Return Receipt Postcard☐ Certified Copy of Priority Document(s)☒ Priority of **Japan** application No. **2000-216202** filed in **Japan** on **July 17, 2000** is claimed under 35 U.S.C. § 119.

See attached copy of the Letter claiming priority filed in the prior application on **July 17, 2001**.

☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paperc. ☐ Statement verifying identity of above copies☐ Other Attachment: \_\_\_\_\_

15535 U.S. PTO

10/656138

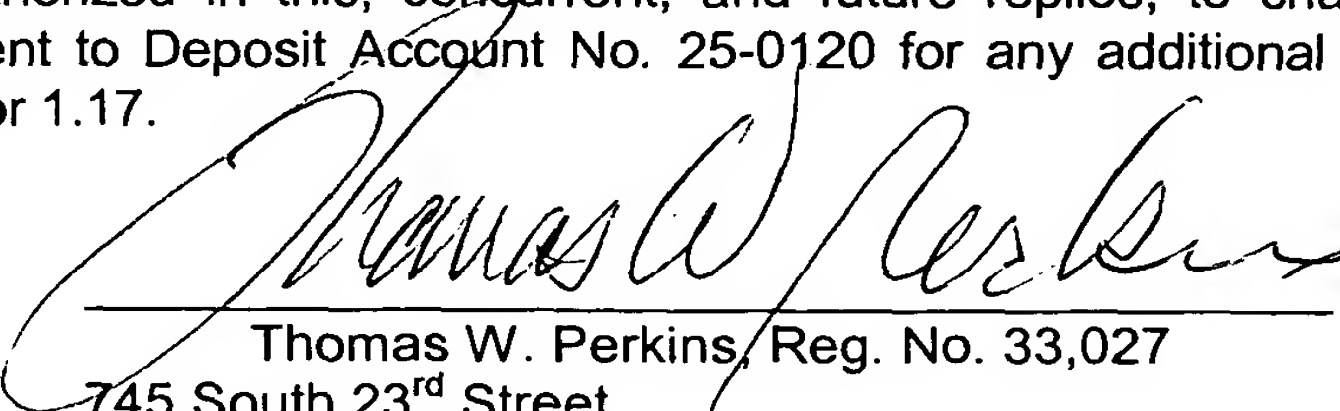


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (continued)	Attorney Docket No. 8005-1006-1
If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of Prior Appln. # 09/906,073 Prior Application Information:    Examiner: H. NGO    Tech Center: 2871	
<input checked="" type="checkbox"/> <b>AMEND THE SPECIFICATION BY INSERTING BEFORE THE FIRST LINE THEREOF THE FOLLOWING PARAGRAPH:</b> <input checked="" type="checkbox"/> --This application is a <input type="checkbox"/> continuation <input checked="" type="checkbox"/> division <input type="checkbox"/> continuation-in-part of co-pending Application No. 09/906,073, filed on July 17, 2001, the entire contents of which are hereby incorporated by reference.-- <input type="checkbox"/> --This application is a <input type="checkbox"/> continuation <input type="checkbox"/> division of co-pending Application No. 09/906,073, filed on July 17, 2001. Application No. 09/906,073 is the national phase of PCT International Application No. PCT/_____/_____, filed on _____ under 35 U.S.C. § 371. The entire contents of each of the above-identified applications are hereby incorporated by reference.--	
<b>CORRESPONDENCE INFORMATION</b>	
<b>Customer Number:</b> 000466	<b>Bar Code Label:</b> <div style="font-size: 2em; text-align: center;">00466</div>
PATENT TRADEMARK OFFICE	
<b>Correspondence Address:</b> Young & Thompson 745 South 23 <sup>rd</sup> Street, Second Floor Arlington, VA 22202 Telephone (703) 521-2297    Facsimile (703) 979-4709	

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY		LARGE ENTITY	
			RATE	FEE	RATE	FEE
BASIC FEE				\$375.00		\$750.00
TOTAL CLAIMS	11 - 20 =	_____	\$9.00	\$_____	\$18.00	\$_____
INDEPENDENT CLAIMS	3 - 3 =	_____	\$42.00	\$_____	\$84.00	\$_____
MULTIPLE DEPENDENT CLAIM FEE			\$140.00	\$_____	\$280.00	\$_____
TOTAL SMALL ENTITY				\$_____		
					TOTAL LARGE ENTITY	\$750.00

- ☒ A Check in the amount of **750.00** to cover all fees is attached.
- ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.

  
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 Telephone (703) 521-2297

TWP/maf  
 Date: **September 8, 2003**